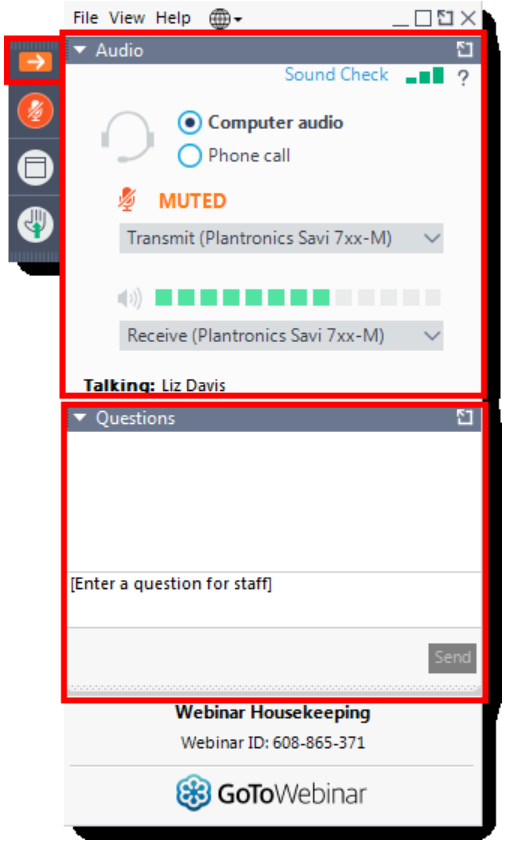
While we wait – audio instructions

1. Select the *Audio* section of the GoToWebinar control panel
2. Select *Computer audio* or *Phone call*
3. To submit a question or comment, type it in the Questions panel



caat
PENSION PLAN

Employer Education session
Data Collection Tool – Full Training Session
January 22, 2019

Agenda

1. DCT overview
   - Navigation
   - Exporting
   - Importing
   - Validation
   - Submission
2. Finance contribution reconciliation process

caat
PENSION PLAN

What is DCT?

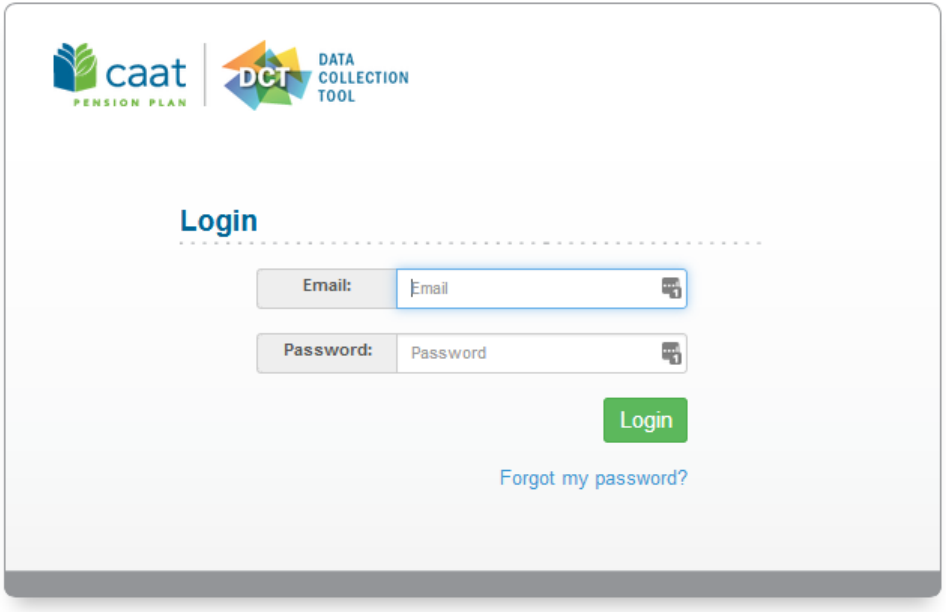
- DCT = Data Collection Tool
- Data collection site used to collect member data from our employers
- Data collection year is from January 1st to December 31st

Employer DCT Process

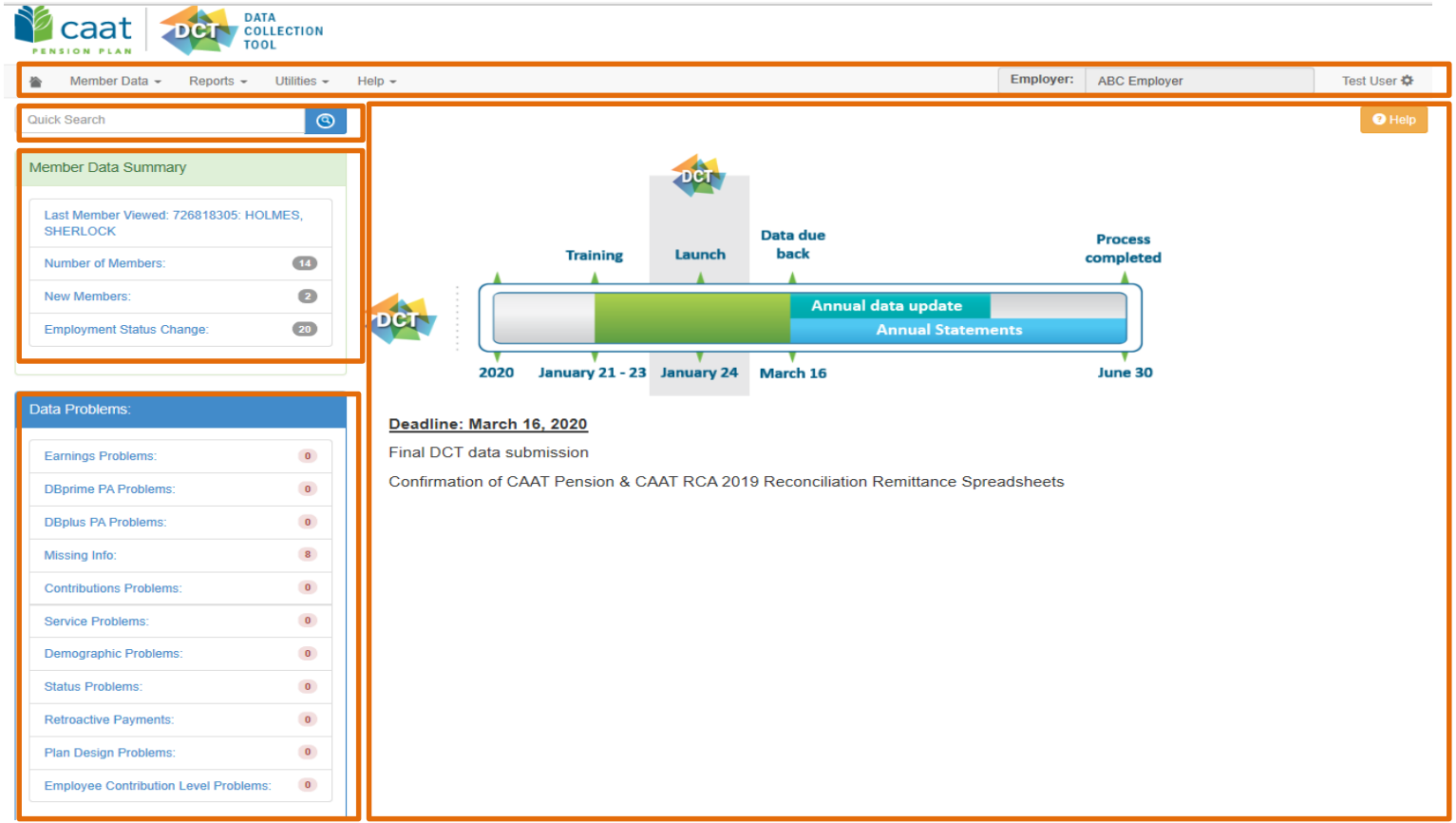
- Employers receive DCT Data from CAAT
- Employers Export and Review Data
- Data is imported into DCT in the following order:
  - A) Status Update File
  - B) Earnings and Contribution File
  - C) Member Information and Year-end Updates (YTD) File
  - D) Retro Payments File
- Data is reviewed, investigated and any errors are corrected
- Run Validations and clear all errors
- Submit Data

Data Collection Tool (DCT) Login

Access DCT: https://dct.caatpension.on.ca/



DCT Home Screen



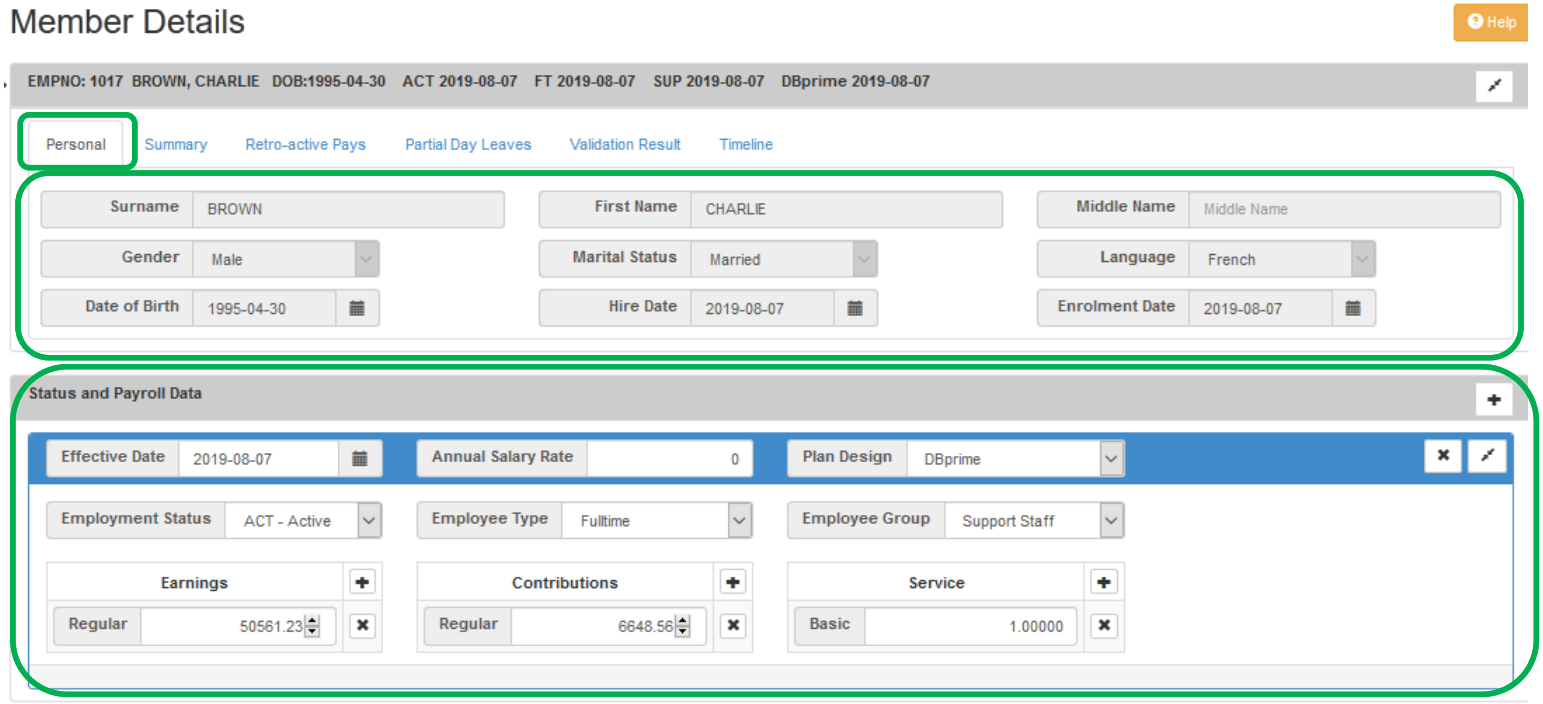
DCT DEMO

Navigating DCT – Employer Menu

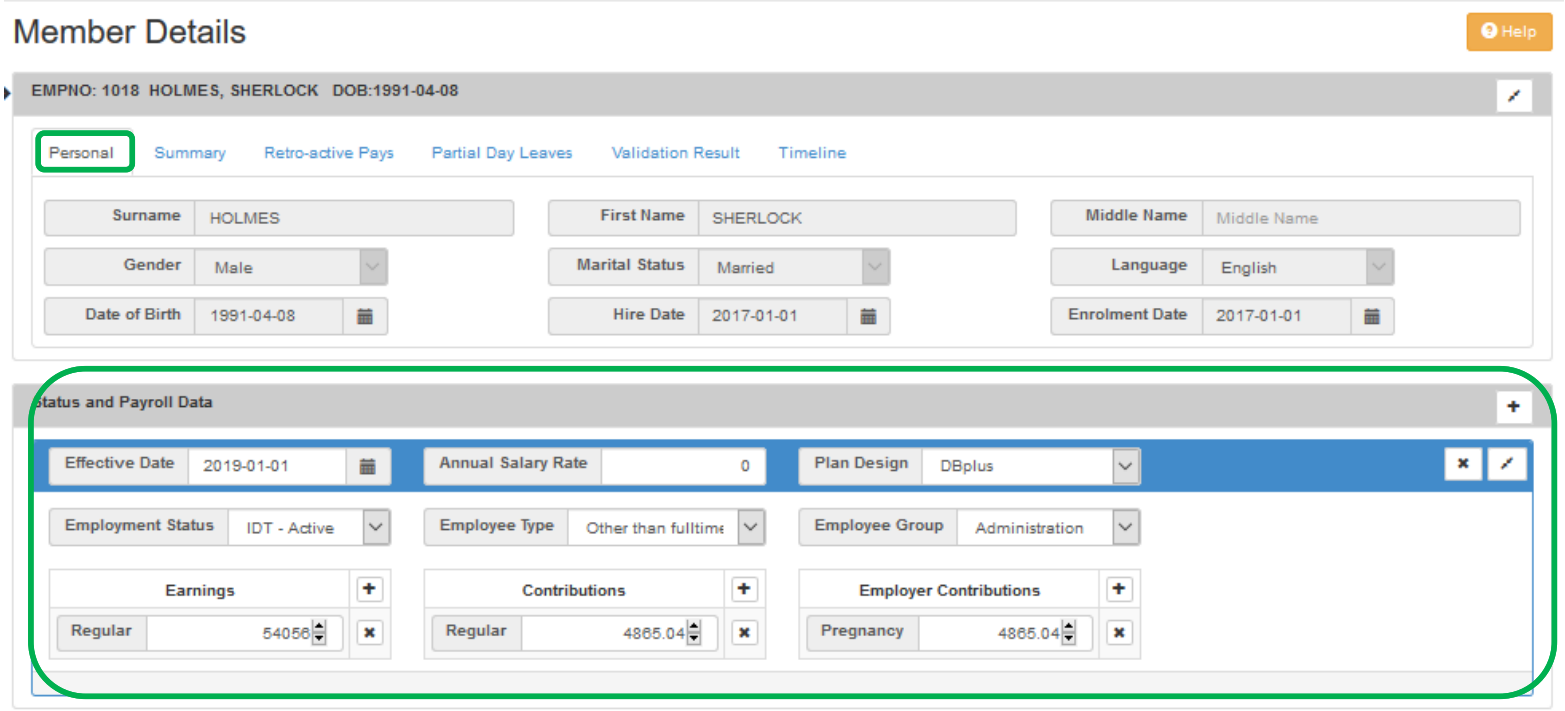
- Member Data
  - **View/Edit Data** – *list all members on record*
  - **Run Validation** – *runs validations for all member records*
  - **New Member** – *add a new member*
  - **Send Data** – *data submission*
- Reports
  - **Error Reports** – *provides summaries of all possible errors in the data*
  - **All Members** - *list all members on record*
  - **New Members** - *list all new members added by employer*
  - **Employment Status Change** – *list all members with in-year status changes*
  - **Reconciliation Report** - *list all members on record and provides total contributions, earnings and member count*
  - **Employee Type Change** – *list all members with changes to their Employment Type, in-year*
  - **Plan Design Type Change** - *list all members with changes to their Plan Design Type, in-year*
  - **DBplus EE Contributions Rate Change** - *list all members with changes to their DBplus EE Contribution rate, in-year.*
- Utilities
  - **Import Data**
  - **Export Data** – *Member Demographic Information, Member Status Updates; Member Earnings, Contributions and Service, Member Prior Year Retroactive Payments, Member Partial-Day Leave*
  - **Reset Data**
- Help
  - Using the DCT
  - About DCT

caat
PENSION PLAN

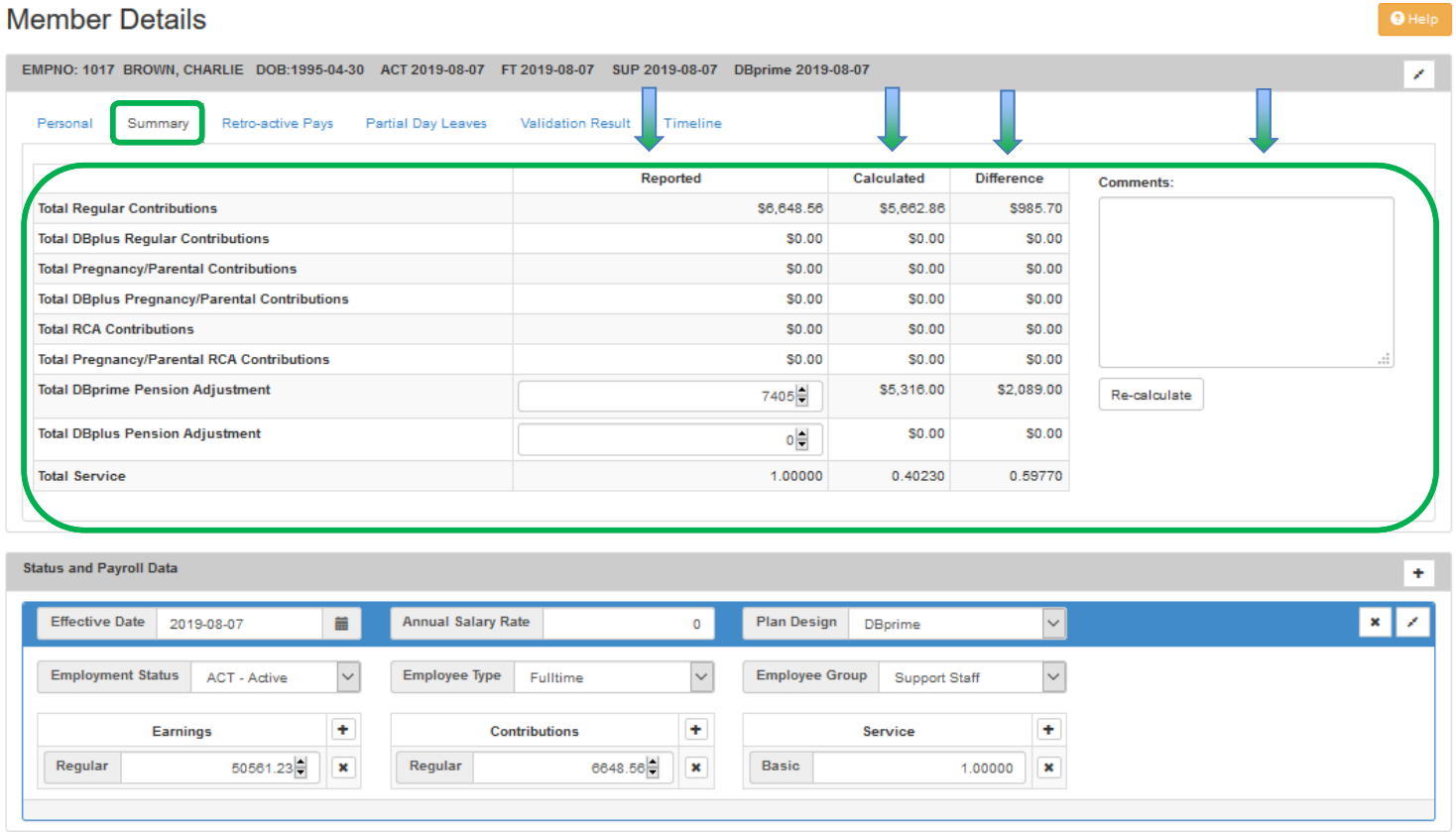
Member Details – Personal (DBprime)



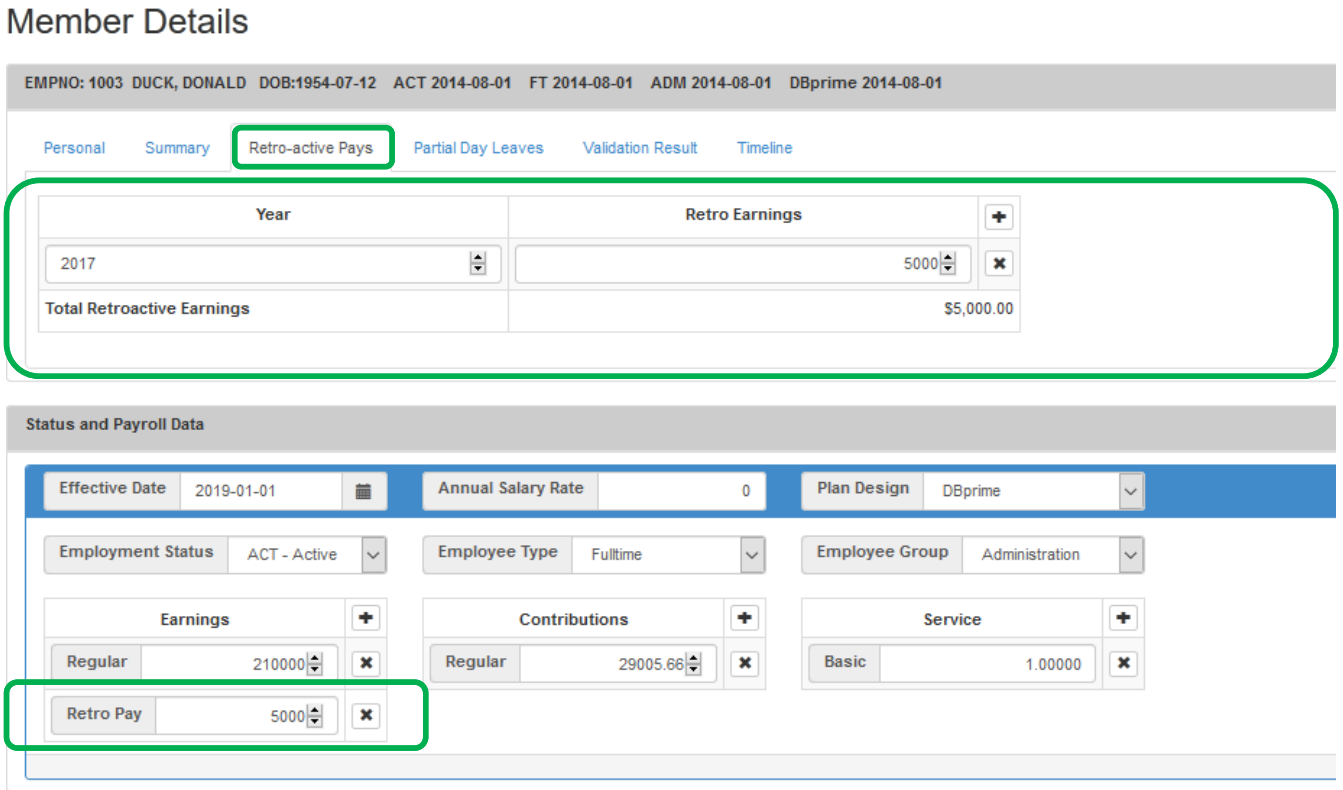
Member Details – Personal (DBplus)



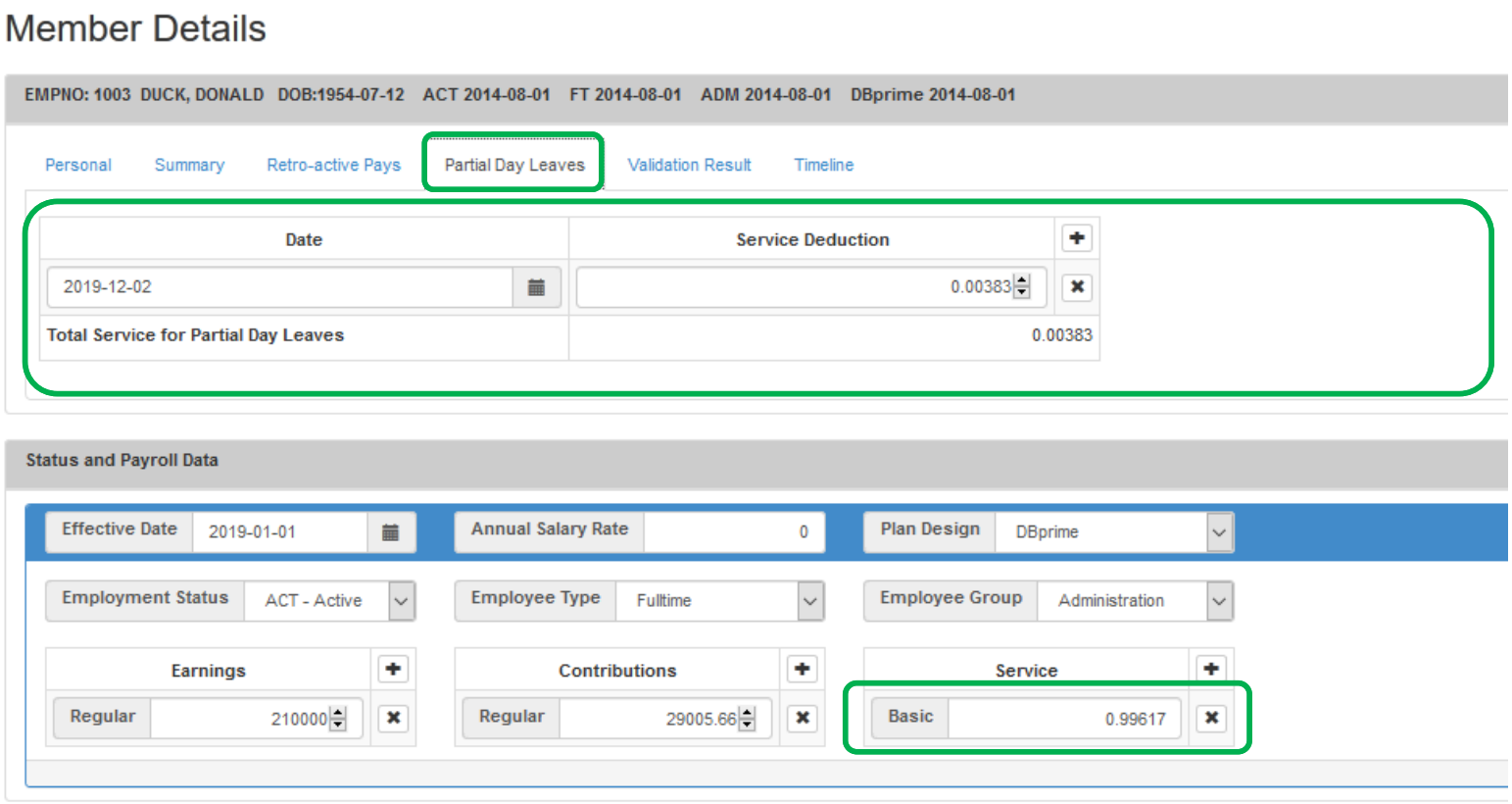
Member Details – Summary



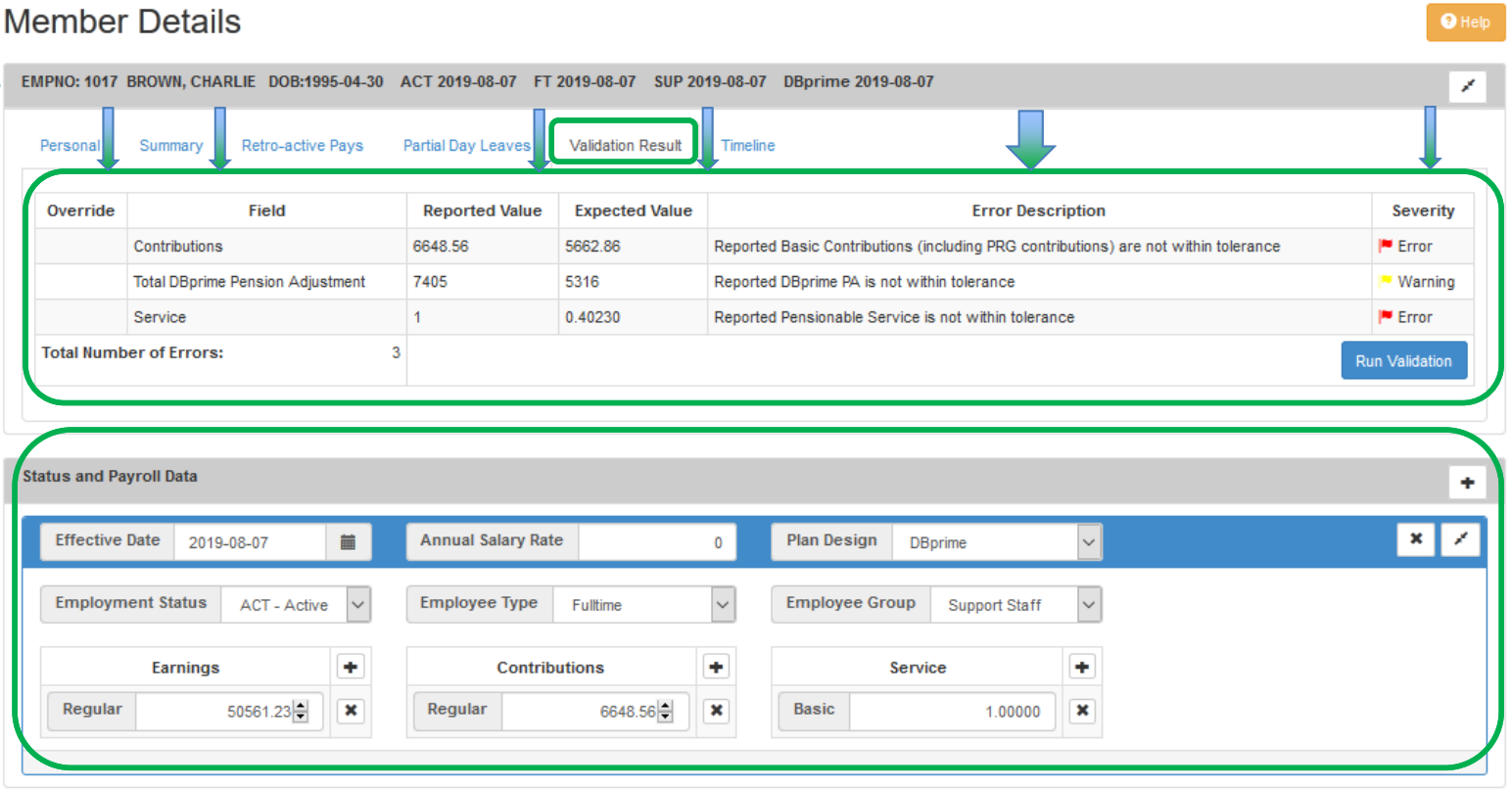
Member Details – Retro-Active Pays



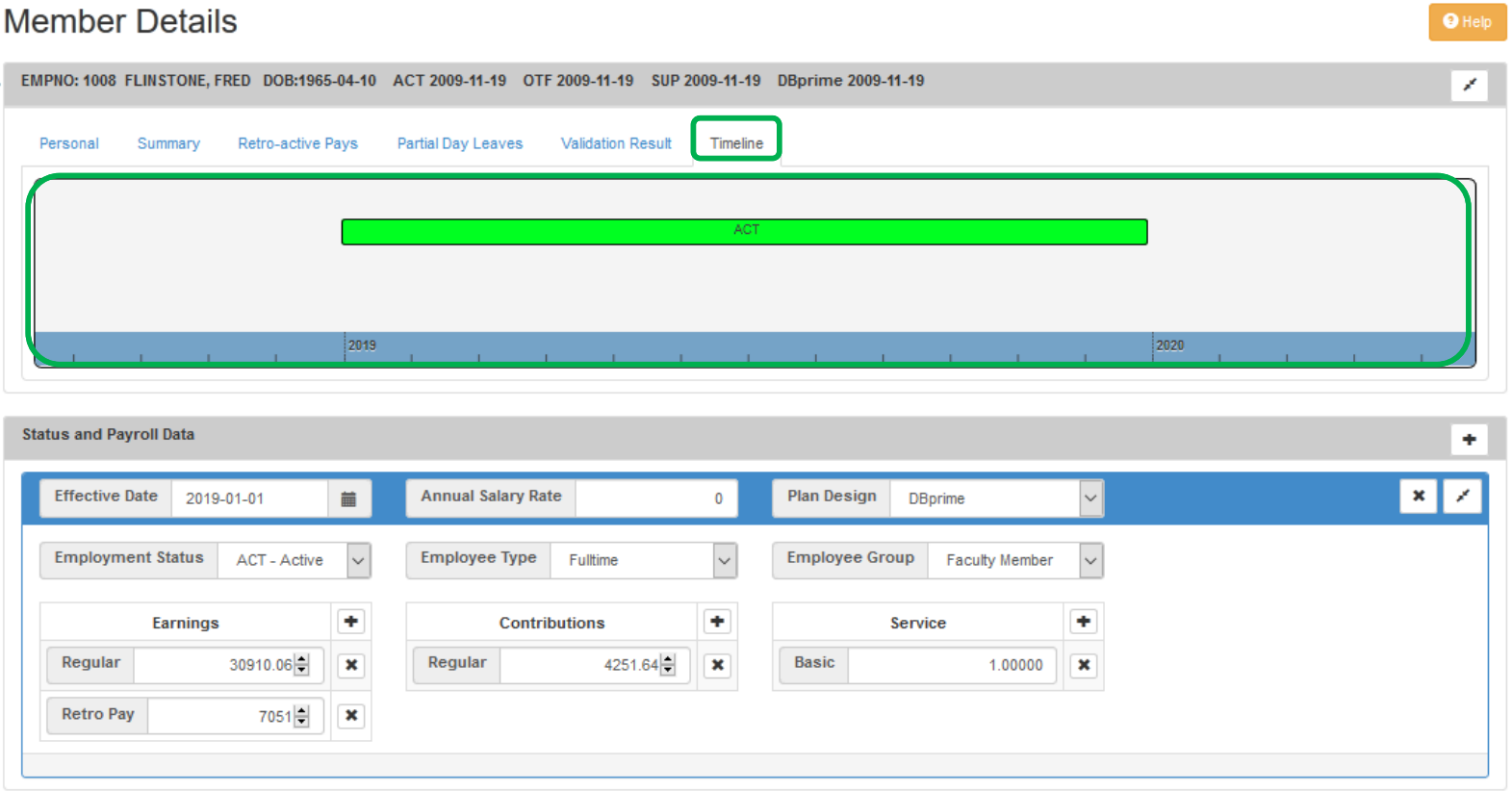
Member Details – Partial Day Leaves



Member Details – Valuation Result



Member Details – Timeline



DCT DEMO – Navigating Member Details

DCT Examples

Member who has no in year data DBprime

DCT Examples

Member who has no in year data DBplus

DCT Examples

Member who has in year data – on leave

DCT Examples

FT to PT status change

caat
PENSION PLAN

Exporting DCT Data

Extracting Member Data

- Employers can extract the member data and compare it to their own records, for member reconciliation
- The export option is found under “Utilities” -> “Export Data”
- Employers can export any or all of the following files:
  - Member Demographic Information
  - Member Status Updates
  - Member Earnings, Contributions and Service
  - Member Prior Year Retroactive Payments
  - Member Partial-Day Leave*

*Partial-Day Leaves must be entered manually by the employer as they are not part of the Import Data utility. So if there are any, they will have to manually add in the Partial-Day data first, before exporting this type of data. **This will not apply for DBplus members**

These data files can be exported at any point during the data sharing process

DCT DEMO – Export Data files

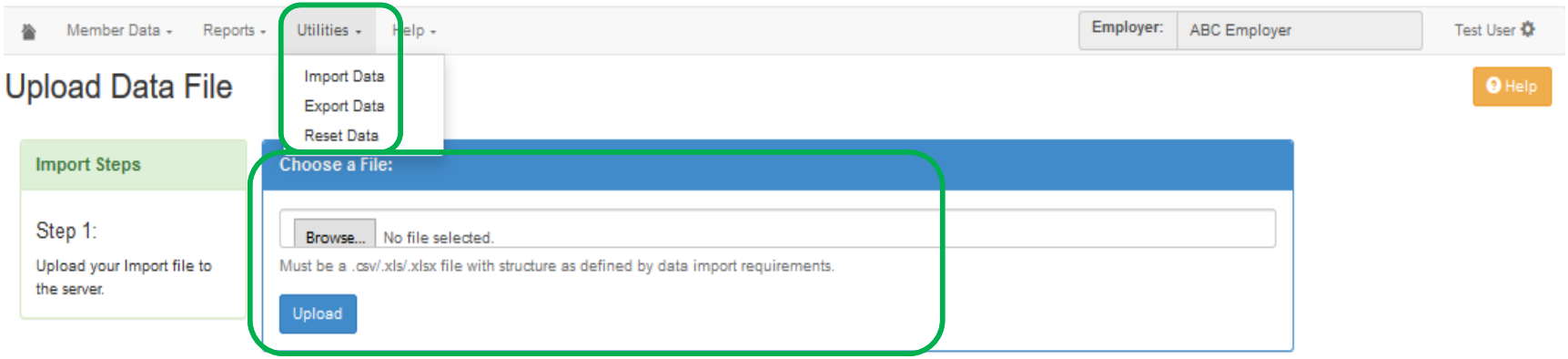
caat
PENSION PLAN

Importing Data files

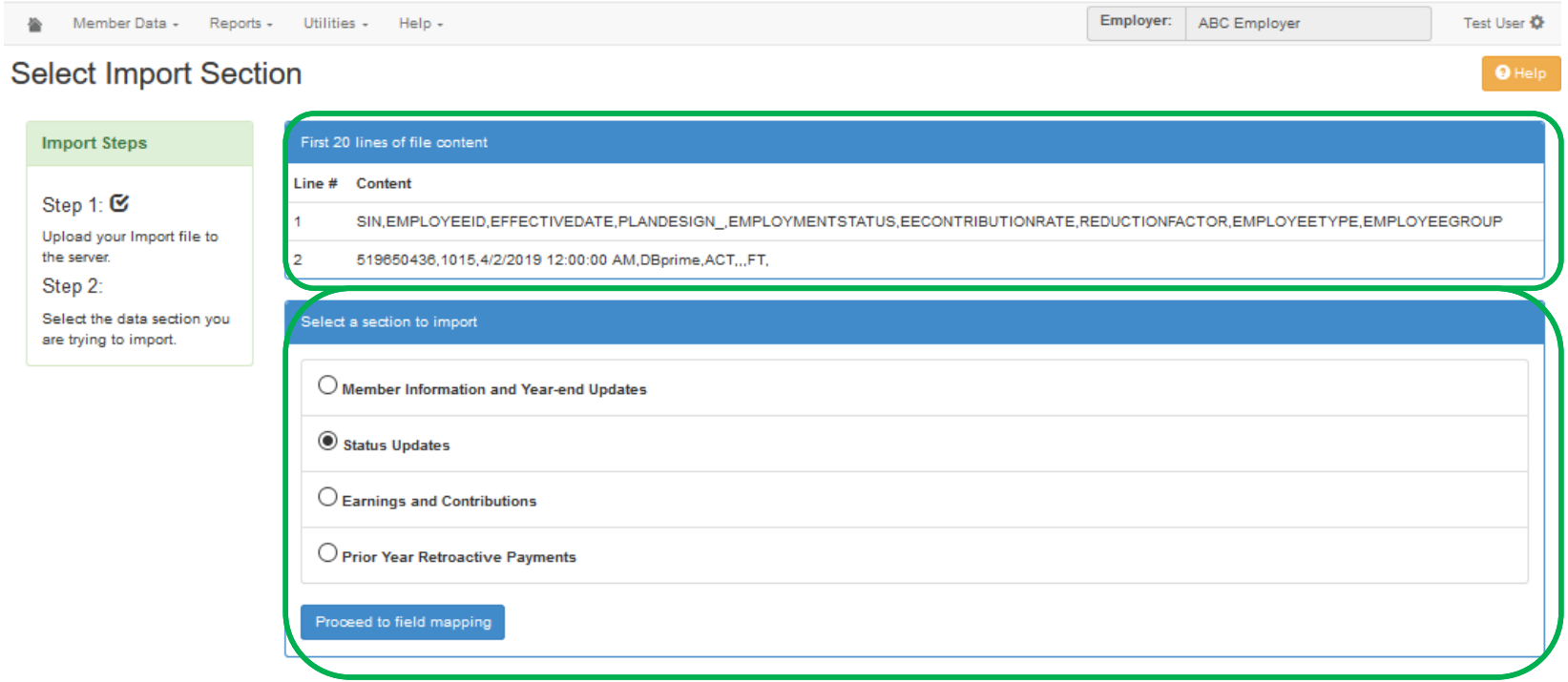
Import Files

- Data is imported into DCT in the following order:
  - A) Status Update File
  - B) Earnings and Contribution File
  - C) Member Information and Year-end Updates (YTD) File
  - D) Retro Payments File

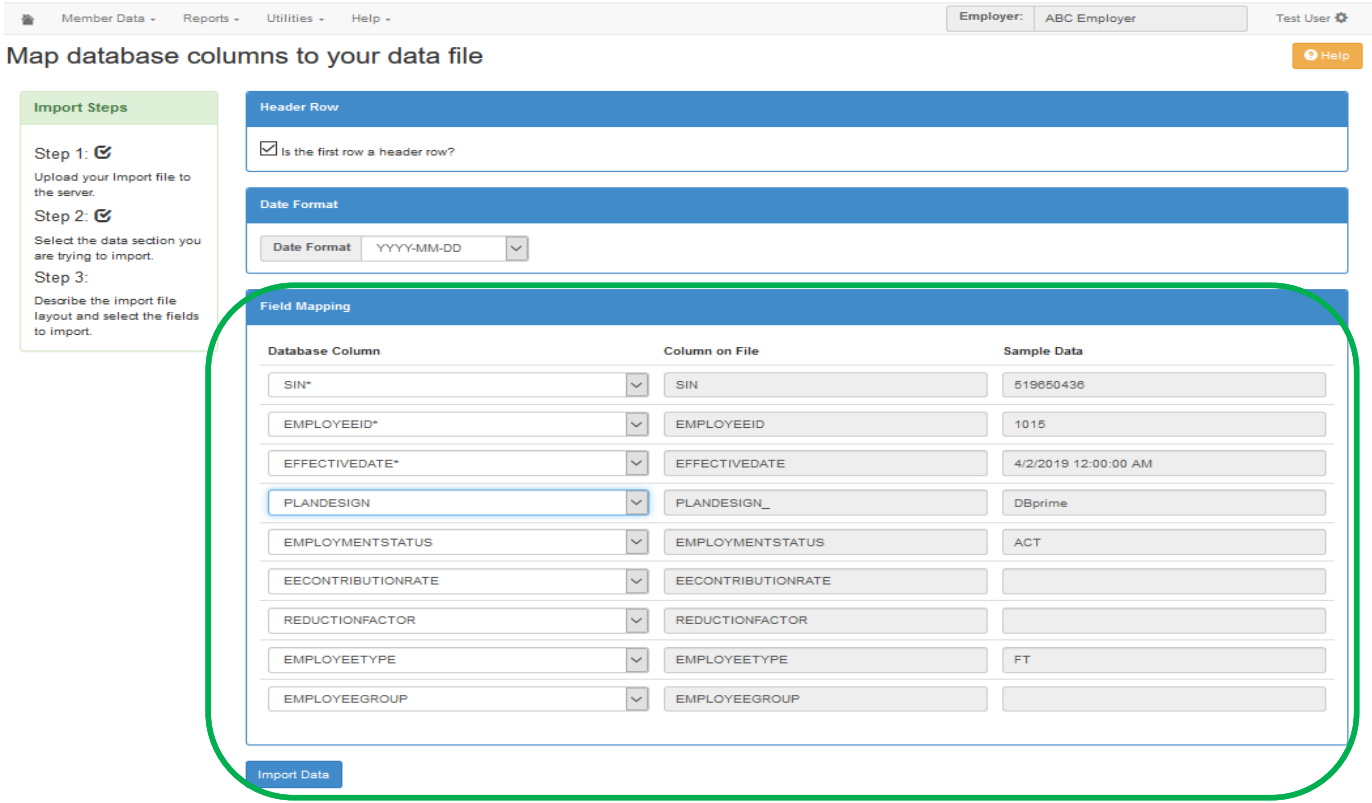
Employer Import Process



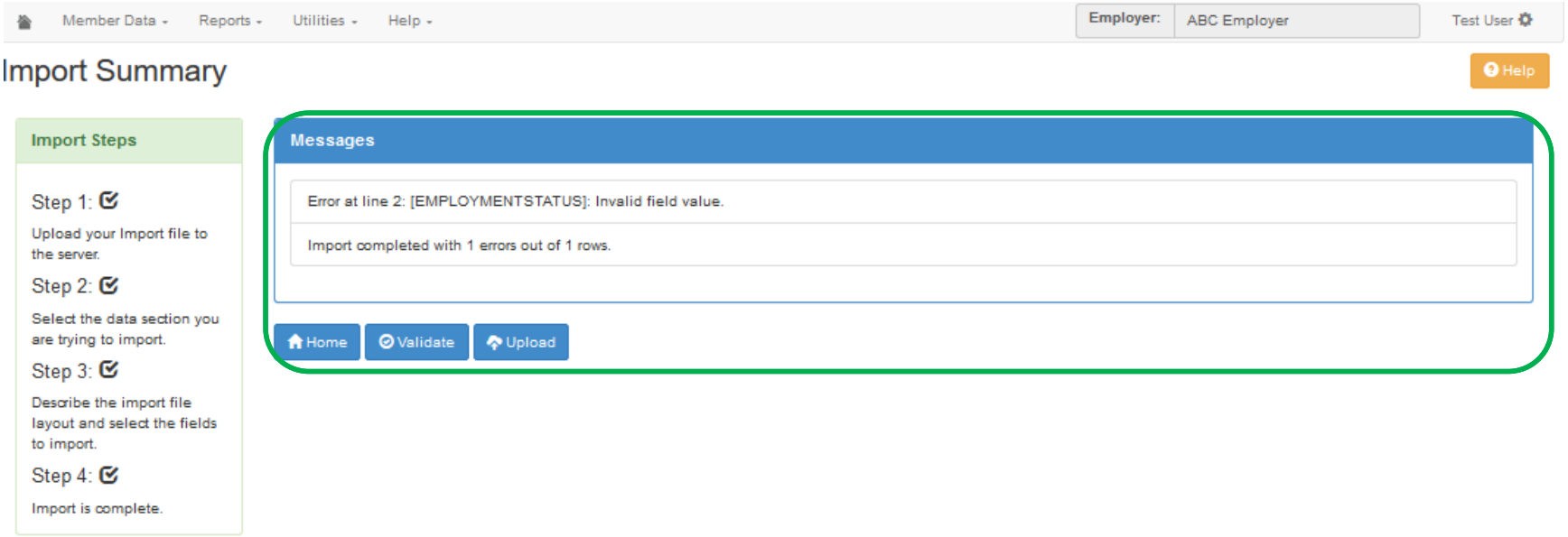
Import Section



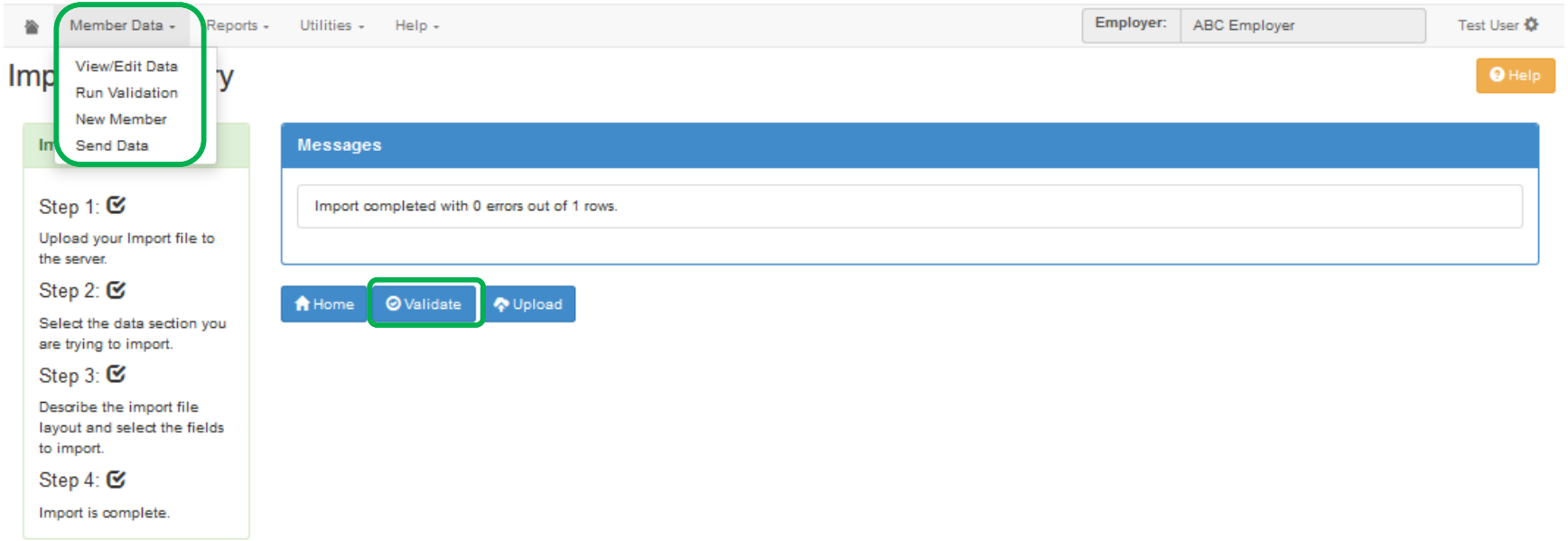
Field Mapping



Import Errors



Running Validations



DCT DEMO – Importing Data files and Data Validations

caat
PENSION PLAN

Additional Resources

- DCT Guide
- DCT Data Submission checklist
- Employer Pension Analyst

Contributions Reconciliation - CAAT Pension Plan / CAAT RCA

Initial reconciliation of contribution remittances

Each year the DCT is released to the employers by our Employer Services team

Initial reconciliation of contribution remittances

Finance Department provides an Excel workbook (via S-Doc) showing all contributions remitted for both CAAT Pension Plan and the CAAT RCA.

Initial reconciliation of contribution remittances

The workbook contains three different documents:

1. **CAAT Summary of 2019 Contributions**
2. **CAAT RCA Summary of 2019 Contributions**
3. **CAAT Purchased Service Contributions** (a listing of purchases by member).

Please check the information and confirm it's accurate.

1. CAAT Summary of 2019 Contributions

SUMMARY OF CONTRIBUTIONS - 20xx - ABC Employer

Description	G/L Account Number	Source Class	January	February	March	April	May	June	July	August	September	October	November	December	Total
Basic	99999-000-061-1110-1	Member	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00
Employer Basic	99999-000-061-1110-2	Employer	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00
Pregnancy	99999-000-061-1120-1	Member	2,392.79	2,759.79	5,861.42	3,955.13	3,166.53	3,166.54	6,236.17	5,000.00	4,970.87	5,809.46	5,251.11	6,147.69	54,717.50
Employer Pregnancy	99999-000-061-1120-2	Employer	2,392.79	2,759.79	5,861.42	3,955.13	3,166.53	3,166.54	6,236.17	5,000.00	4,970.87	5,809.46	5,251.11	6,147.69	54,717.50
Pregnancy Service Purchase within prescribed period	99999-000-061-1120-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Pregnancy Service Purchase after prescribed period	99999-000-061-1120-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Employer Pregnancy Purchase	99999-000-061-1120-2	Employer	-	-	-	-	-	-	-	-	-	-	-	-	-
Pre-enrolment after prescribed period	99999-000-061-1115-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Pre-enrolment within prescribed period	99999-000-061-1115-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Employer Pre-enrolment	99999-000-061-1115-2	Employer	-	-	-	-	-	-	-	-	-	-	-	-	-
Leave of Absence after prescribed period	99999-000-061-1130-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Leave of Absence within prescribed period	99999-000-061-1130-1	Member	-	-	-	-	-	-	1,676.54	-	-	-	-	-	1,676.54
Vested Prior Service	99999-000-061-1135-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-vested Prior within prescribed period	99999-000-061-1140-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-vested Prior after prescribed period	99999-000-061-1140-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
50% Cost Excess	99999-000-061-1157-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Total			**84,785.58**	**85,519.58**	**91,722.84**	**87,910.26**	**86,333.06**	**86,333.08**	**94,148.88**	**90,000.00**	**89,941.74**	**91,618.92**	**90,502.22**	**92,295.38**	**191,111.54**

2. CAAT RCA Summary of 2019 Contributions

SUMMARY OF CONTRIBUTIONS - 20xx - ABC Employer RCA

Description	G/L Account Number	Source Class	January	February	March	April	May	June	July	August	September	October	November	December	Total
Basic RCA	99999-0-061-1110-1	Member	886.56	886.56	1,329.81	886.56	886.56	886.56	12,686.69	2,690.13	1,306.41	1,306.41	1,306.41	1,306.41	26,365.07
Employer RCA	99999-0-061-1110-2	Employer	448.04	2,659.67	3,989.43	2,659.68	2,659.67	2,659.67	38,060.07	8,070.36	3,919.23	3,919.23	3,919.23	0.67	72,964.95
RCA - Pregnancy Service Purchase after prescribed period	99999-0-061-1120-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Pregnancy RCA	99999-0-061-1120-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
RCA - Pregnancy Service Purchase within prescribed period	99999-0-061-1120-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
Employer Pregnancy RCA	99999-0-061-1120-2	Employer	-	-	-	-	-	-	-	-	-	-	-	-	-
Employer RCA Pregnancy Purchase	99999-0-061-1120-2	Employer	-	-	-	-	-	-	-	-	-	-	-	-	-
RCA Ineligible to Eligible Purchase	99999-0-061-1180-1	Member	-	-	-	-	-	-	-	-	-	-	-	-	-
RCA Employer Fee Paid	21101-0-000-1001	Employer	2,211.64	-	-	-	-	-	-	-	-	-	-	3,918.55	6,130.19
Total			**3,546.24**	**3,546.23**	**5,319.24**	**3,546.24**	**3,546.23**	**3,546.23**	**50,746.76**	**10,760.49**	**5,225.64**	**5,225.64**	**5,225.64**	**5,225.63**	**105,460.21**

3. CAAT Purchased Service Contributions

SUMMARY OF CONTRIBUTIONS - 20xx - ABC Employer PURCHASED SERVICE

Deposit Date	Employer	Member Key	Member Name	Purchase Type	Employee RPP	Employer RPP
mm/dd/yyyy	ABC	99999	JOHN DOE 99999 [DBprime]	LOA - within	1,676.54	0
mm/dd/yyyy	ABC	99998	JULIA DOE 99998 [DBprime]	Pregnancy - within	7,168.10	0
mm/dd/yyyy	ABC	99998	JULIA DOE 99998 [DBprime]	Pregnancy - within	0	7,168.10

Initial reconciliation of contribution remittances

Employer verification

- Cash sent by the employer or member during the year agrees with CAAT Plan's records
- Names and types of service are accurately recorded

Initial reconciliation of contribution remittances

Employer verification – Confirmation

Please confirm accuracy with email:

"I agree with the CAAT Pension Plan and CAAT RCA 2019 DCT Reconciliation Remittance spreadsheets"

(do not attach spreadsheets)

Annual reconciliation

From Pension Analyst:

- A **Schedule of Adjustments** reconciling contributions from the original DCT submissions made by the employer to the final reconciled totals (from Employer Services)

- A copy of the final **Summary Data Report** that shows all membership data after adjustments have been entered

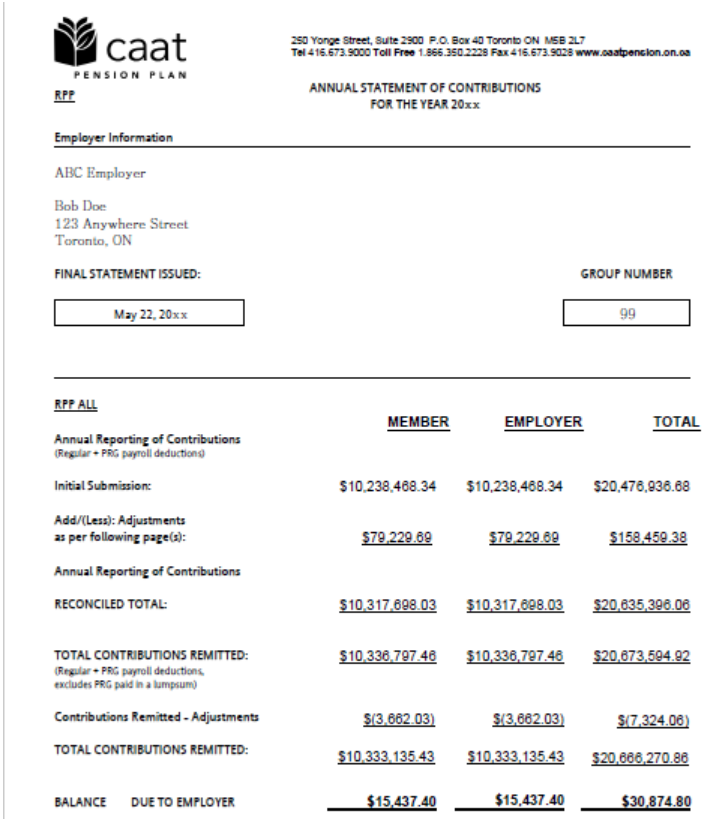
Annual reconciliation

From Finance:

- CAAT Pension Plan Annual Statement of Contributions
- CAAT RCA Annual Statement of Contributions

Annual Reconciliation

CAAT Pension Plan Annual Statement of Contributions



caat PENSION PLAN

250 Yonge Street, Suite 2900 P.O. Box 40 Toronto ON M5B 2L7
Tel 416.673.9000 Toll Free 1.866.350.2228 Fax 416.673.9028 www.caatpension.on.ca

RPP

ANNUAL STATEMENT OF CONTRIBUTIONS
FOR THE YEAR 20xx

Employer Information

ABC Employer

Bob Doe
123 Anywhere Street
Toronto, ON

FINAL STATEMENT ISSUED: May 22, 20xx

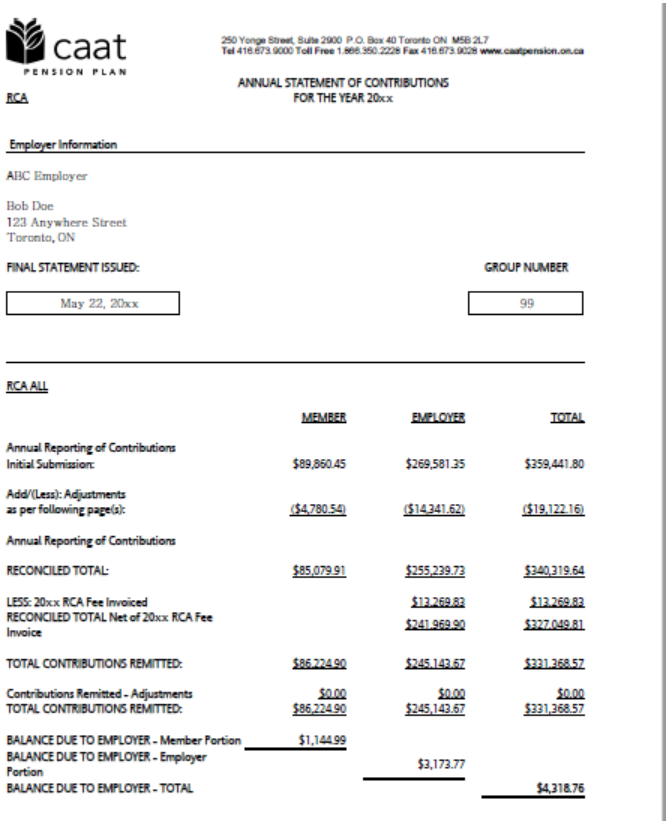
GROUP NUMBER: 99

RPP ALL

	MEMBER	EMPLOYER	TOTAL
Annual Reporting of Contributions (Regular + PRG payroll deductions)			
Initial Submission:	$10,238,468.34	$10,238,468.34	$20,476,936.68
Add/(Less): Adjustments as per following page(s):	$79,229.69	$79,229.69	$158,459.38
Annual Reporting of Contributions			
RECONCILED TOTAL:	$10,317,698.03	$10,317,698.03	$20,635,396.06
TOTAL CONTRIBUTIONS REMITTED: (Regular + PRG payroll deductions, excludes PRG paid in a lumpsum)	$10,336,797.46	$10,336,797.46	$20,673,594.92
Contributions Remitted - Adjustments	$(3,662.03)	$(3,662.03)	$(7,324.06)
TOTAL CONTRIBUTIONS REMITTED:	$10,333,135.43	$10,333,135.43	$20,666,270.86
BALANCE DUE TO EMPLOYER	$15,437.40	$15,437.40	$30,874.80

Annual Reconciliation

CAAT RCA Annual Statement of Contributions



caat PENSION PLAN

250 Yonge Street, Suite 2900 P.O. Box 40 Toronto ON M5B 2L7
Tel 416.673.9000 Toll Free 1.866.350.2228 Fax 416.673.9028 www.caatpension.on.ca

ANNUAL STATEMENT OF CONTRIBUTIONS
FOR THE YEAR 20xx

RCA

Employer Information

ABC Employer

Bob Doe
123 Anywhere Street
Toronto, ON

FINAL STATEMENT ISSUED: May 22, 20xx

GROUP NUMBER: 99

RCA ALL

	MEMBER	EMPLOYER	TOTAL
Annual Reporting of Contributions Initial Submission:	$89,860.45	$269,581.35	$359,441.80
Add/(Less): Adjustments as per following page(s):	($4,780.54)	($14,341.62)	($19,122.16)
Annual Reporting of Contributions RECONCILED TOTAL:	$85,079.91	$255,239.73	$340,319.64
LESS: 20xx RCA Fee Invoiced		$13,269.83	$13,269.83
RECONCILED TOTAL Net of 20xx RCA Fee Invoice		$241,969.90	$327,049.81
TOTAL CONTRIBUTIONS REMITTED:	$86,224.90	$245,143.67	$331,368.57
Contributions Remitted - Adjustments	$0.00	$0.00	$0.00
TOTAL CONTRIBUTIONS REMITTED:	$86,224.90	$245,143.67	$331,368.57
BALANCE DUE TO EMPLOYER - Member Portion	$1,144.99		
BALANCE DUE TO EMPLOYER - Employer Portion		$3,173.77	
BALANCE DUE TO EMPLOYER - TOTAL			$4,318.76

EFT Payments

- Send EFT payment together with invoice
- Send invoice by Email:
  - B1K@cibcmellon.com
  - Finance@caatpension.on.ca

Mailing Cheque Payments

- Mail cheque together with invoice to

  CIBC Mellon

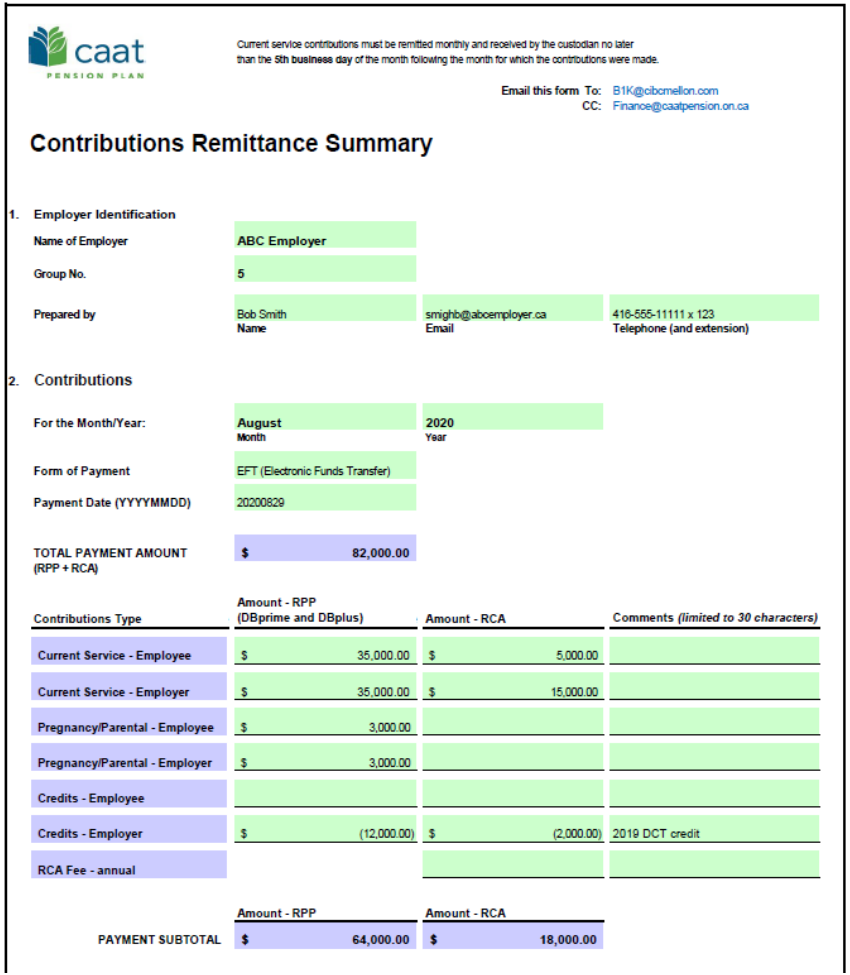
  1 York Street, Suite 900

  Toronto, ON M5J 0B6

  Attention: IPA Department 7th Floor

DCT balance due to employer

- Please claim the balance owing against your next monthly remittance by showing a credit on the **CAAT Pension Plan and CAAT RCA Contribution Remittance Summary form 034-A** under **‘Credits - Employer’** and reducing the total remittance amount.
- The regular monthly contribution remittance amounts should remain unaffected.
- Example on next slide



caat PENSION PLAN

Current service contributions must be remitted monthly and received by the custodian no later than the **5th business day** of the month following the month for which the contributions were made.

Email this form To: B1K@cibcmellon.com
CC: Finance@caatpension.on.ca

Contributions Remittance Summary

1. Employer Identification

Name of Employer	**ABC Employer**		
Group No.	**5**		
Prepared by	Bob Smith **Name**	smighb@aboemployer.ca **Email**	416-555-11111 x 123 **Telephone (and extension)**

2. Contributions

For the Month/Year:	**August** **Month**	**2020** **Year**
Form of Payment	EFT (Electronic Funds Transfer)	
Payment Date (YYYYMMDD)	20200829	
TOTAL PAYMENT AMOUNT (RPP + RCA)	**$ 82,000.00**	

Contributions Type	Amount - RPP (DBprime and DBplus)	Amount - RCA	Comments *(limited to 30 characters)*
Current Service - Employee	$ 35,000.00	$ 5,000.00	
Current Service - Employer	$ 35,000.00	$ 15,000.00	
Pregnancy/Parental - Employee	$ 3,000.00		
Pregnancy/Parental - Employer	$ 3,000.00		
Credits - Employee			
Credits - Employer	$ (12,000.00)	$ (2,000.00)	2019 DCT credit
RCA Fee - annual			
	Amount - RPP	**Amount - RCA**	
PAYMENT SUBTOTAL	**$ 64,000.00**	**$ 18,000.00**	

Questions?

Sean McFarland
Finance Administrator
416.673.9000
smcfarland@caatpension.on.ca

Maisie Au
Senior Finance Analyst
416.673.4805
mau@caatpension.on.ca

caat
PENSION PLAN